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PTO/SB/21 (04-04)

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		Application Number	10/047,953			
TRANSMITTAL		Filing Date	January 15, 2002			
FORM		First Named Inventor	Mark O'Neill			
(to be used for all correspondence after initial f	filing)	Art Unit	2182			
		Examiner Name	not assigned			
Total Number of Pages in This Submission		Attorney Docket Number	065363-0003			
	URES (check all that apply)					
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Petition to Provision to Provision to Provision Terminal Request	p-related Papers o Corrvert a nal Application f Attorney, Revocation of Correspondence Address Disclaimer for Refund mber of CD(s)	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):			
SIGNATURE O	F APPLICA	ANT, ATTORNEY, OR AGEN	Т			
Firm or Individual name Gregory M. Zinki, Ph.D. Patent Agent and Scientific Advisor Dykema Gossett PLLC						
Date February 7, 2005						
CERTIF	CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being fail	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,VA 22313-1450 on the					
Typed or printed name Gregory M. Zinkl, I	Reg. No. 48,	492	<u> </u>			
Typed or printed name Gregofy M. Zinkl, Rcg. No. 48.492 Signature Date February 7, 2005						

This collection of information is required by \$7 CFR1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark O'Neill

Serial No.:

10/047,953

Group Art Unit:

2182

Filed:

01/15/2002

Examiner:

Not assigned

For:

System and Method for the Signing and Authentication of

Configuration Settings Using Electronic Signatures

Atty. Dkt. No.:

065363-0003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c)(1). A statement under 37 C.F.R. § 1.97(e)(1) is set forth below. Enclosed herewith is the List of References Cited by Applicant (PTO/SB/08a) (one sheet), as well as a copy of each reference listed thereon that is not a U.S. patent or published application. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of a search report obtained in connection with a corresponding foreign application has been included herewith.

For those references listed on attached Form PTO/SB/08a that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). For those references listed on attached Form PTO/SB/08a that are in a language other than English, Applicant refers the Examiner to the English language search report submitted herewith. MPEP § 609. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

FEB-08-2005 14:48

Respectfully submitted,

Gregory M. Zindl, Ph.D.

Patent Agent and Scientific Advisor

Reg. No. 48,492

Customer No. 38,939

DYKEMA GOSSETT PLLC

10 South Wacker Drive

Suite 2300

Chicago, Illinois 60606

(312) 627-2126

Dated: 02/08/2005

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I, Gregory M. Zinkl, Ph.D., a registered Patent Attorney having a Registration No. 48,492, and representative for Applicants in U.S. Patent Application Serial No. 10/047,953 filed January 15, 2002, hereby certify that that each item of information contained in this Supplemental Information Disclosure Statement (including the accompanying Form PTO/SB/08a) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

Gregory M. Zinky, Ph.D.

Patent Agent and Scientific Advisor

Reg. No. 48,492

Dated: 62/08/2005

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97 is being transmitted via facsimile on this 4th day of Feburary, 2005

Patricia A. Fossier

Doc Code:

Approved for use through 07/31/2006. CMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004. Complete if Known ees pursuant to the Consolidated Appropriators Act, 2005 (H.R. 4818). Application Number 10/047,953 RANSM Filing Date January 15, 2002 for FY 2005 First Named Inventor Mark O'Nell **Examiner Name** not assigned Applicant daims small entity status. See 37 CFR 1.27 Art Unit 2182 065363-0003 Attorney Docket No. \$180.00 TOTAL AMOUNT OF PAYMENT METHOD OF PAYMENT (check all that apply) Other (please identify): Check Dykema Gossett □ Deposit Deposit Account Name: 04-2223 Deposit Account Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES** SEARCH FEES **FILING FEES \$mall Entity Small Entity** Small Entity Fee (\$) Ecc (\$) Fecs Paid(\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) 300 150 500 250 200 100 Utility 100 130 65 200 100 ጙስ Design 300 150 160 80 200 100 Plant 600 300 500 250 300 150 Reissue 0 100 **Provisional** 200 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (Including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) 360 180 Multiple dependent claims Multiple Dependent Claims Fee (\$) Fee Paid (\$) Fee (\$) Fee Paid (\$) Total Claims Extra Claims - 20 or HP = \$0.00 \$50,00 HP = highest number of total claims paid for, if greater than 20. Fee (\$) Extra Claims Fee Paid (\$) Indep. Claims -3 or HP = \$200.00 x \$0.00 HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Total Sheets -100 =_ (round up to a whole x <u>\$250.00</u> __\$0.00__ Fee Paid (\$) 4. OTHER FEE(S) Non-English specification, \$130 fee (no small entity discount) Other (e.g. late filing surcharge): Fee set forth in 37 CFR 1.17(p) Information Disclosure Statement \$180.00 SUBMITTED BY Registration No 48,492 Telephone 312-627-2126 Signature February 7, 2005 Gregory M. Zinkl, Ph.D. Name (Print/Type)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiallty is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TRANSMITTA	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 065363-0003								
n Re Application Of: Mark O'Neill									
Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No.									
10/047,953	January 15, 2002	not assigned	38,939	2182					
Title: System and	Method For The Sign	ing And Authentication Of Con	figuration Settii	ngs Using Electro	onic Signatures				
		Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14							
		37 CFR 1.97(b)							
of a nation three mon application	nal application other ths of the date of ending the before the mailing	atement submitted herewith is than a continued prosecution atry of the national stage as set of a first Office Action on the mast for continued examination un	application und forth in 37 CF erits, or before	der 37 CFR 1.53 R 1,491 in an in the mailing of a	3(d); within Iternational				
		37 CFR 1.97(c)							
CFR 1.97 Final Acti	(b), provided that the on under 37 CFR 1	atement submitted herewith is a Information Disclosure State 1.113, a Notice of Allowance on the application, and is accomp	ment is filed be under 37 CFR	efore the mailing R 1.311, or an a	date of a				
☐ the	statement specified i	in 37 CFR 1.97(e);							
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TRANSMITTA	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 065363-0003							
In Re Application: Mark O'Neill								
Application No. Filing Date Examiner Customer No. Group Art Unit Confirm								
10/047,953	January 15, 2002	not assigne	d	38,939	2182			
Title: System and Method For The Signing And Authentication Of Configuration Settings Using Electronic Signatures								
	(Only con	Payme	ent of Fee as to pay the f	fee set forth in 37	CFR 1.17(p))			
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Gregory M. Zin	nkl, Ph.D. Printed Name of Person Sig.	ning Certificate	Тур	ed or Printed Name	of Person Mailing Co	rtificate		
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Substitu	ite for form 1449A/PTO		Complete if Known		
			Application Number	10/047,953	
INF	ORMATION DIS	SCLOSURE	Filing Date	January 15, 2002	
STA	TEMENT BY A	\PPLICANT	First Named Inventor	Mark O'Neill	
		·	Art Unit	2182	
(use as many sheets as necessary)			Examiner Name	not assigned	
Sheet	of		Attorney Docket Number	065363-0003	

	U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear	
		US- 5,412,717 A	05-02-1995	Fischer, Addison M.		
		US- 5,465,299 A	I 1-07-1995	Matsumoto, Hiroshi, et al.		
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	FOREIGN PATENT DOCUMENTS								
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Examiner Initials	Cite No. ¹	Office	Number ⁴	Kind ⁶ (If known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T [®]	
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Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.9). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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